



Effects of Global Climate Change on Marine and Estuarine Fishes and Fisheries

Julie M. Roessig¹

Christa M. Woodley¹

Joseph J. Cech, Jr.^{1,*}

and

Lara J. Hansen²

¹Department of Wildlife, Fish, and Conservation Biology
and Center for Aquatic Biology and Aquaculture
University of California, Davis
One Shields Ave.
Davis, CA 95616

²Climate Change Program
World Wildlife Fund
1250 24th Street NW
Washington DC 20037

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*Corresponding author: email, jjcech@ucdavis.edu; daytime phone number, (530) 752-3103; FAX, (530) 752-4154

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1 **Abstract**

2 Global climate change is impacting and will continue to impact marine and estuarine fish and
3 fisheries. Data trends show global climate change effects ranging from increased oxygen
4 consumption rates in fishes, to changes in foraging and migrational patterns in polar seas, to fish
5 community changes in bleached tropical coral reefs. Projections of future conditions portend
6 further impacts on the distribution and abundance of fishes associated with relatively small
7 temperature changes. Changing fish distributions and abundances will undoubtedly affect
8 communities of humans who harvest these stocks. Coastal-based harvesters (subsistence,
9 commercial, recreational) may be impacted (negatively or positively) by changes in fish stocks
10 due to climate change. Furthermore, marine protected area boundaries, low-lying island
11 countries dependent on coastal economies, and disease incidence (in aquatic organisms and
12 humans) are also affected by a relatively small increase in temperature and sea level. Our
13 interpretations of evidence include many uncertainties about the future of affected fish species
14 and their harvesters. Therefore, there is a need to research the physiology and ecology of marine
15 and estuarine fishes, particularly in the tropics where comparatively little research has been
16 conducted. As a broader and deeper information base accumulates, researchers will be able to
17 make more accurate predictions and forge relevant solutions.

1 **Introduction**

2 One fascinating feature of global climate change is how it relates so many facets of science that
3 are so often segregated. To fully understand how this phenomenon affects fish, we must
4 consider atmospheric science, chemistry, oceanography, physiology, and ecology. Taken a step
5 further in relating these to people and communities, we must also consider geography,
6 economics, and sociology. With the context so broad, one review paper cannot fully encapsulate
7 the spectrum of implications. We focus on how global changes (particularly temperature-related
8 ones) impact marine and estuarine fish and fisheries, and the people who depend on them.

9
10 The amazing aspect of global climate change is the magnitude of the impact of a relatively small
11 temperature change. An increase of a few degrees in atmospheric temperature will not only raise
12 the temperature of the oceans, but also cause major hydrologic changes affecting the physical
13 and chemical properties of water. These will lead to fish, invertebrate, and plant species changes
14 in marine and estuarine communities (McGinn, 2002). Fishes have evolved physiologically to
15 live within a specific range of environmental variation, and existence outside of that range can be
16 stressful or fatal (Barton et al., 2002). These ranges can coincide for fishes that evolved in
17 similar habitats (Attrill, 2002). We approach these patterns of existence by looking at three
18 different regions of the world's oceans: temperate, polar, and tropical. Within each region, we
19 examine physiological characteristics common to its fishes and relate them to regional habitat
20 characteristics. After examining predicted changes that fish and their populations will encounter,
21 we attempt to bridge the gap between the science information and models and fish-dependent
22 societies. Three types of harvesters exploit fish stocks: subsistence (artisanal), commercial, and

1 recreational. These all may be impacted (negatively and/or positively) by changes in fish stocks
2 due to climate change. Other issues affected by these global changes include boundaries of
3 marine protected areas, low-lying island countries dependent on coastal economies, and disease
4 (in aquatic organisms and humans). All stem from a relatively small rise in temperature.

5

6 By examining the physiological and ecological effects on fishes in these regions, we are made
7 aware of how much is not known about fishes and their ecosystems. There is a great need for
8 research on the physiology and ecology of fishes, particularly in the tropics. Without an
9 understanding of how these organisms and systems function and interact, we cannot predict how
10 they will react to perturbation, including global climate change-related disturbances. These gaps
11 lead to uncertainties about future fish stocks and for people depending on them.

12

13 *The Situation*

14 Many naturally occurring compounds from the Earth's crust and waters are continuously added
15 to the atmosphere. Until recently, chemical influx and efflux have been driven by non-
16 anthropogenic processes (Fig. 1) over geological time spans, allowing organisms to evolve with
17 their environments. However, since the Industrial Revolution (19th Century), many compounds
18 that naturally existed in small quantities have been mass produced and added to our atmosphere
19 through anthropogenic activities (Sarmiento and Gruber, 2002). This comparatively rapid
20 introduction of compounds has caused profound environmental alterations. Greenhouse gases,
21 such as carbon dioxide (CO₂), methane (CH₄), nitrous oxide (N₂O), chlorofluorocarbons (CFCs),
22 and volatile organic compounds (VOCs), absorb incoming solar energy and outgoing (reflected)
23 radiant energy. Changes in the concentrations of these and other atmospheric gases, therefore,

1 alter the global radiation budget (Gribbin 1988; Fig. 1). The retention of additional radiant
2 energy raises atmospheric temperatures and impacts climates. These climatic changes affect the
3 entire earth system, including ecosystems, community and population structures, and organismal
4 ranges (Bernal, 1993; Daniels et al., 1993; Parmesan, 1996; Booth and Visser, 2001; McCarthy,
5 2001; Walther et al., 2002). Recent evidence outlines the magnitude of such changes in terrestrial
6 systems (Root et al., 2003; Parmesan and Yohe, 2003).

7
8 Global atmospheric temperatures and CO₂ concentrations have risen throughout the last 50 years
9 (Fig. 2; Trenberth, 1997; Quay, 2002). Simultaneously, the world's oceans have experienced a
10 net warming (Levitus et al., 2000; Sheppard, 2001; Fukasawa et al., 2004). Regional increases in
11 temperature have been documented in the southwest Pacific Ocean and North Atlantic Ocean
12 (Bindoff and Church, 1992; Parrilla et al., 1994). For the last 20-30 years the western
13 Mediterranean Sea temperatures have been rising (Bethoux et al., 1990), which is reflected in the
14 presence and abundance of ectothermic marine life (Francour et al., 1994). For example, off the
15 coast of France, two thermophilic algal species, several thermophilic echinoderm species, and
16 some thermophilic fishes have increased in abundance, while other thermophilic species are
17 being observed for the first time (Francour et al., 1994).

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19

20 *Model Predictions*

21 Several models have been produced recently suggesting outcomes to various global climate
22 change situations. Models incorporate and combine knowledge about individual processes in a
23 quantitative way, yet they typically have intrinsic limitations because they are simplifying a

1 complex system, and using often incomplete and inaccurate knowledge (Trenberth, 1997;
2 Rahmstorf, 2002). Ultimately, modeling is a compromise among inclusion of processes, level of
3 complexity, and desired resolution (Rahmstorf, 2002). Assessment of their accuracy is possible
4 by comparing model outcomes to climate reconstructions. Climate reconstructions are based on
5 proxies such as pollen, ocean-sediment cores, lake-level reconstructions, glacial moraines,
6 terrestrial, and ice-borehole data (for coarse, long time scales), and tree rings, corals, ice cores,
7 lake sediments, and historical records (for shorter time scales; Mann, 2002). Each proxy has
8 advantages and disadvantages, leaving no single proxy adequate for all climate reconstruction
9 purposes (Mann, 2002).

10

11 Estimates of future surface air temperature increases range from 1 to 7°C, depending on the
12 hypothesized atmospheric CO₂ contents (Daniels et al., 1993; Kwon and Schnoor, 1994; Manabe
13 et al., 1994; Woodwell et al., 1998). Air temperatures are expected to increase ocean warming,
14 most significantly in the upper 500-800 m (Bernal, 1993). However, even slight warming of
15 deeper oceanic layers will have a huge impact on the Earth's energy budget due to the mass of
16 water they contain (Bernal, 1993; Levitus et al., 2000; Stevenson et al., 2002). Ocean
17 circulations are predicted to shift, possibly interacting with land masses, creating a north-south
18 thermal asymmetry (Bernal, 1993). For example, the northern boundary of the Gulf Stream has
19 shifted slightly northward in recent decades (Taylor and Stephens, 1998).

20

21 *Potential Effects of Global Climate Change on Marine and Estuarine Environments*

22 It is widely accepted that due to greenhouse gases a profound change in climate will occur
23 (Palmer and Räisänen, 2002; Schnur, 2002). How will this affect the physical environments of

1 oceanic and estuarine ecosystems? Change in climate means there is a change in precipitation
2 and evaporation rates, constituents of the hydrologic cycle, which affect surface runoff, and
3 groundwater and ocean levels (Klige, 1990; Zestser and Loaiciga, 1993; Loaiciga et al., 1996).
4 A rise in global temperature, generally, would increase regional evaporation in the lower
5 latitudes and increase regional precipitation in the higher latitudes (Klige, 1990; Zestser and
6 Loaiciga, 1993; Manabe et al., 1994; Palmer and Räisänen, 2002). Shifts in the
7 evaporation/precipitation regime could have significant consequences to the continents,
8 including worsening conditions for flood control and water storage (Loaiciga et al., 1996; Milly
9 et al., 2002). In addition, excess runoff (in relation to evaporation) will contribute to
10 groundwater levels (Zestser and Loaiciga, 1993; Manabe et al., 1994). Approximately 6% of the
11 total water influx to the oceans and seas comes from direct groundwater discharge (Zestser and
12 Loaiciga, 1993). An increase in the amount of groundwater entering the ocean would lead to a
13 net gain in oceanic volume. In addition to increased groundwater discharge, meltwater from
14 glaciers may contribute to increasing ocean volume (Klige, 1990; Daniels et al., 1993; Schött
15 Hvidberg, 2000; Stevenson et al., 2002). Finally, as water temperatures rise, the volume of the
16 oceans will also increase due to thermal expansion (Daniels et al., 1993; Stevenson et al., 2002).
17
18 Increased oceanic volume and concomitant sea level rise have tremendous implications for
19 coastal environments. Sea levels have risen (0.1-0.3 m over the past century) in conjunction with
20 the rising global temperature (Wigley and Raper, 1987; Liu, 2000; IPCC, 2001), but with a time
21 lag of 19 years (Klige, 1990). Depending on model factors, predicted increases range from 0.3 to
22 5.0 m, possibly inundating almost 1 million km² of coastal land (Klige, 1990; Daniels et al.,
23 1993; Liu, 2000). This rise is occurring at a faster rate than plants can colonize and establish

1 wetland habitat (Daniels et al., 1993; Stevenson et al., 2002). Therefore, many tidal wetlands,
2 estuaries, mangroves, and other shallow-water habitats may be lost if climate change continues at
3 the predicted rates. An increasing water column depth affects the complex interactions of the
4 hydrodynamic processes that take place in the coastal environment. Tides and tidal currents,
5 distribution of turbulent energy, shoreline configuration, near-shore depth distribution,
6 sedimentation patterns, and estuarine-river interactions will be affected (Liu, 2000).

7
8 Another major consequence of a changing climate is the likely perturbation of oceanic
9 circulations. Currents are driven directly by winds (upper layer of ocean), fluxes of heat and
10 freshwater (thermohaline circulation), or by the gravitational pull of the sun and moon (tides;
11 Rahmstorf, 2002). Thermohaline circulation is the deep ocean water (> 200 m) that is conveyed
12 in slow large-scale circulations, driven by water density, which is dependent on heat and salinity
13 (Fig. 3; Garrison, 1996). Although there is much debate on the predicted future of this
14 circulation (Hansen et al., 2004), many global climate change models suggest weakening, and
15 possibly complete breakdown, of the thermohaline circulation, particularly in the Atlantic Ocean
16 (Bernal, 1993; Manabe et al., 1994; Sarmiento et al., 1998; Plattner et al., 2001; Vellinga and
17 Wood, 2002). Furthermore, suggestions that a rise in sea level may also decrease the formation
18 of North Atlantic deep water (NADW) will directly impact massive ocean water circulations
19 (Mikolajewicz et al., 1990). This is caused, in part, to increased density-driven stratification of
20 the upper water column in the higher latitudes, which decreases vertical mixing and convective
21 overturning (Sarmiento et al., 1998).

22

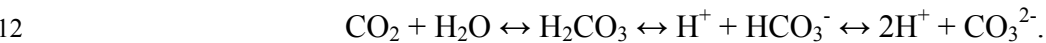
1 Evidence of this has been uncovered in the Gulf of Alaska. Here, surface temperatures have
2 been rising from warmer air temperatures, while salinities have been decreasing from melting
3 ice, thereby decreasing the water's density. Meanwhile, deep waters have had little change in
4 density, leading to increased ocean stratification and decreased formation of mixed layers
5 (Whitney and Freeland, 1999; Freeland and Whitney, 2000). This halts the convective flow that
6 drives thermohaline circulation, and consequently disturbs the circulation of nutrients and heat
7 that these deep waters contain.

8
9 In the Pacific Ocean, increased stratification could increase the frequency of El Niño/Southern
10 Oscillation (ENSO) events and more extreme climatic variations (Timmermann et al., 1999).
11 ENSO events are characterized by an intrusion of warm water from the western equatorial
12 Pacific into the eastern equatorial Pacific, where it causes a rise in sea level, higher sea surface
13 temperatures, and a weakened thermocline, which is associated with reduced primary
14 productivity (Miller and Fluharty, 1992). Thermohaline circulation is intimately linked to the
15 carbon cycle and deep ocean ventilation, and any change in either would further disrupt the
16 carbon cycle and biogeochemistry of the coupled system (Bernal, 1993; Manabe et al., 1994;
17 Sarmiento et al., 1998).

18
19 The oceans act as an immense carbon sink. The amount of carbon stored in the oceans is
20 regulated by atmosphere-sea gas exchange, carbonate equilibria, ocean circulation, and marine
21 organisms (Plattner et al., 2001; Sarmiento and Gruber, 2002; Sabine et al., 2004). Increasing
22 water temperature decreases the solubility of CO₂, resulting in the slowed uptake of atmospheric
23 CO₂ (Kwon and Schnoor, 1994; Sarmiento et al., 1998; Plattner et al., 2001). For example, the

1 solubility coefficient for CO₂ in seawater is 1.57 mL · L⁻¹ · mm Hg⁻¹ at 5°C, and shifts to 1.34 mL
2 · L⁻¹ · mm Hg⁻¹ at 10°C (Randall, 1970). Plattner et al. (2001) reviewed many studies that predict
3 the CO₂ uptake by oceans to be reduced by 4-28% during the 21st Century. There has already
4 been an 8-10% decrease in CO₂ uptake during the 20th Century, attributed to increasing surface
5 water temperatures (Joos et al., 1999). Reduced oceanic uptake, along with deforestation
6 (decreasing CO₂ uptake via photosynthesis and CO₂ storage in living plant biomass), decreases
7 the effectiveness of natural CO₂ buffering systems, which exacerbates the accumulation of
8 anthropogenic CO₂ emissions in the atmosphere (Chambers et al., 2001).

9
10 When CO₂ is introduced to ocean waters and hydrated, more hydrogen ions are produced, and
11 this equation shifts to the right:



13 When the CO₂ concentration increases, the equilibrium of the equation shifts such that more
14 carbonic acid (H₂CO₃) is formed, which partially dissociates into bicarbonate (HCO₃⁻) and
15 hydrogen (H⁺) ions, lowering water pH. Bicarbonate may further dissociate so that 2 H⁺ are
16 created for one molecule of CO₂. The pH is a measure of H⁺ activity, and is an important water
17 quality indicator because fish and other organisms are sensitive to pH. Ocean surface pH has
18 already decreased by 0.1 pH units in colder waters and almost 0.09 pH units in warmer waters
19 (Haugen, 1997). If atmospheric CO₂ concentrations continue to increase, another 0.3 pH unit
20 decrease of oceanic surface waters may occur (Haugen, 1997). Furthermore, as temperature
21 increases, an increased proportion of the water molecules dissociate to H⁺ and OH⁻, decreasing
22 water pH.

23

1 It has been suggested that CO₂ should be intentionally stored in the ocean, as a mechanism to
2 stabilize atmospheric concentrations (Bacastow et al., 1997; Haugen, 1997; Wong and Matear,
3 1997; Gnanadesikan et al., 2003). This could be accomplished by several methods, including: A)
4 creating a detritus flux, with CO₂ attached, to transport the CO₂ into deep waters, B) direct
5 disposal into certain known deep water currents, and C) fertilizing nutrient-rich regions with iron
6 to increase CO₂ utilization (Wong and Matear, 1997; Gnanadesikan et al., 2003). Obviously,
7 one consequence of CO₂ disposal in the ocean is decreased pH (Haugen, 1997; Wong and
8 Matear, 1997), which could be detrimental to affected organisms. This is especially true for
9 bathypelagic organisms that are adapted to a very stable environment.

10

11 Salinity is another important factor that is greatly affected by climate. Because the oceans
12 contain such a massive amount of water, net changes in salinity have not been much of an issue
13 to this point. However, it may be a significant issue in the future, considering that although
14 groundwater discharge to the ocean floors contributes only 6% of total water influx, it's salt load
15 is 50% that of rivers, and groundwater discharge is expected to increase with increasing
16 precipitation (Zestser and Loaiciga, 1993). Therefore, oceanic salinity could rise if the salt load
17 introduced by groundwater discharge is not offset by water volume increases (Zestser and
18 Loaiciga, 1993). It is also likely that the upper oceanic layers near the higher latitudes may
19 become more dilute due to increased precipitation (Manabe et al., 1994) and river discharge
20 (Peterson et al., 2002). A slight decrease in salinity has been observed in the northern Pacific,
21 although a definite causal link has not been established (Wong et al., 1999; Freeland and
22 Whitney, 2000). Changes in salinity have important implications on thermohaline circulation
23 and on the formation of dense water (Peterson et al., 2002).

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A possible consequence of ocean warming is clathrate outgassing. Clathrates (or gas hydrates) are gases that under the proper temperature and pressure conditions have stabilized as ice-like solids (Wilde and Quinby-Hunt, 1997). Clathrates of greenhouse gases (carbon dioxide and methane) and toxic gases (hydrogen sulfide) exist on the ocean floors near the higher latitudes, and it is thought that they could exist at the lower latitudes as well (Wilde and Quinby-Hunt, 1997). It is possible that an influx of warmer water could destabilize these clathrates, causing release of these gases into the water column, potentially adding more greenhouse gases to the atmosphere (Wilde and Quinby-Hunt, 1997).

The increase in greenhouse gas concentrations is likely causing global climate changes (Watson et al. 2001, Hughes et al. 2003) and consequent changes in the physical characteristics of the oceans. Recent evidence from model simulations is consistent with relevant anthropogenic effects of greenhouse gases on a warming climate (Watson et al. 2001). However, controversy remains and there are conflicting analyses and insufficient data to explain some phenomena, including intensities of tropical storms, leading to other explanations of more regional-scale impacts (Watson et al. 2001). Warmed marine and estuarine waters (and consequent changes in dissolved gases, pH, and salinity), from global climate change-related (or other) effects could be expected to affect many fish species and life stages. Fish, as ectotherms, are intimately connected to their environment, and significant changes in oceanic conditions regionally and globally will likely have both direct and indirect effects on individuals, their populations, and communities (Fig. 4).

1 **Effects on Fishes**

2 Climate change will affect individuals, populations and communities through the individuals'
3 physiological and behavioral responses to environmental changes (Boesch and Turner, 1984).
4 Extremes in environmental factors, such as elevated water temperature, low dissolved oxygen or
5 salinity, and pH, can have deleterious effects on fishes (Moyle and Cech, 2004). Suboptimal
6 environmental conditions can decrease foraging, growth, and fecundity, alter metamorphosis,
7 and affect endocrine homeostasis and migratory behavior (Barton and Barton, 1987; Donaldson,
8 1990; Pörtner et al., 2001). These organismal changes directly influence population and
9 community structure by their associated affects on performance, patterns of resource use, and
10 survival (Ruiz et al., 1993; Wainwright, 1994).

11
12 Estuarine and coastal regions are extremely productive because they receive inputs from several
13 primary production sources and detrital food webs. Yet, these systems present the biota with a
14 harsh environment, forcing organisms to evolve physiological or behavioral adaptations to cope
15 with wide ranging physical and chemical variables (Horn et al., 1999). Due to water circulation
16 and oceanic volume changes, estuarine and coastal systems are predicted to experience a loss of
17 marsh and intertidal habitat, a greater marine intrusion or freshwater plumes, and increased
18 eutrophication, hypoxia, and anoxia (Officer et al., 1984; Kennedy, 1990; Ray et al., 1992;
19 Schwartz, 1998). The close relationship between laboratory-based measurements of fishes'
20 responses to temperature and the same species' thermal distributional limits in their native
21 habitats has been demonstrated (Cech et al., 1990). Because many native organisms currently
22 live near their tolerance limits, estuarine and coastal ecosystems will likely exhibit responses

1 earlier to regional changes, including native species loss and exotic species increases (Kennedy,
2 1990; Carlton, 1996).

3
4 Marine pelagic systems are susceptible to climate change through extreme events and the
5 contraction or expansion of oceanic zones. For example, sea temperature changes driven by
6 variations in the North Atlantic Oscillation (NAO) have been linked to fluctuations in cod
7 (*Gadus morhua*) recruitment and habitat shifts off Labrador and Newfoundland (Rose et al.,
8 2000). On the west coast of Canada and Alaska, the Gulf of Alaska is exhibiting increased
9 temperature and decreased salinity levels. The result is seen in shallower mixed layers, which
10 lead to a reduced nutrient supply (Whitney and Freeland, 1999), impacting primary production
11 levels and changing food webs (Bjørnstad and Grenfell, 2001; Zabel et al., 2003; Richardson and
12 Schoeman, 2004). In the next sections, we focus on marine ecosystems where global climate
13 change-related influences are predicted to have significant impacts on fishes and their
14 populations.

15

16 **Temperate Regions**

17 Attempts to identify the impacts to fishes in the temperate (spanning subtropical to subpolar)
18 regions are complicated, due to the diversity of life history patterns, trophic relationships, and
19 variations in local habitats. Therefore depending on the environmental change, a broad range of
20 fish responses can be expected (Table 1). Because many lucrative commercial fisheries are
21 situated in the temperate regions, global climate change-related effects could have dramatic
22 economic impacts. Consequently, comparatively more research has been conducted on potential
23 effects of global climate change on temperate region species. However, because continual

1 exploitation affects fish stock abundance and recruitment, detecting global climate change-
2 related effects is difficult. Before examining temperature and other climate-related effects on
3 populations, it is useful to understand global climate change effects on individual fishes.

4

5 *Physiological and Behavioral Effects on Temperate Fishes*

6 Temperature tolerances (including lethal limits) and the associated rates of thermal acclimation
7 of fishes are critical data when predicting fishes' responses to global climate changes. Fishes
8 have shown varying abilities to adjust their upper temperature tolerance limits with increased
9 acclimation temperatures. For example, Young and Cech (1996) found that the estuarine splittail
10 (*Pogonichthys macrolepidotus*) increased their critical thermal maximum (CTM; temperature
11 where equilibrium is lost after rapid heating) from 29 °C, when acclimated to 17 °C, to 32-33 °C,
12 when acclimated to 20 °C. This contrasts with golden shiners (*Notemigonus crysoleucas*), which
13 increased their incipient lethal temperature only 4 °C (from 30 to 34 °C) when their acclimation
14 temperature was increased by 15 °C (from 15 to 30 °C; Hart, 1952). Laboratory data can be used
15 to determine the environmental temperatures a fish can survive, and by combining this
16 information with observational data, researchers can predict fishes responses to thermal changes.

17

18 Sudden shifts in temperature can have disastrous effects on fish populations (e.g., thermal stress),
19 especially if shallow water or long distances prevents the fish from finding a thermal refuge. For
20 example, Gunter and Hildebrand (1951) described a massive die-off of fishes and crabs
21 associated with a weather cold front that lowered water temperatures in the shallow Gulf of
22 Mexico bays and estuaries of the Texas coast to 3 °C (with ice forming along the shorelines).
23 Overstreet (1974) described a similar occurrence in Mississippi coastal waters, and attributed the

1 death of mullets (*Mugil spp.*), tarpon (*Megalops atlanticus*), and other species to the sudden cold
2 temperatures and other factors (e.g., low dissolved oxygen and the presence of toxic pesticides in
3 the water). Burton et al. (1979) showed that fast temperature decreases are correlated with
4 increased mortality rates in Atlantic menhaden (*Brevoortia tyrannus*). There are several
5 mechanisms of temperature-related mortality in fishes, ranging from molecular (e.g., heat-related
6 damage to vital enzymes; Somero, 1995) to systemic (e.g., cardiac insufficiency, Cech et al.,
7 1975).

8
9 Many marine fishes exhibit behavioral thermoregulation, in that they seek preferred
10 temperatures, depending on environmental conditions. The practice of “fishing with
11 thermometers,” concentrating fishing efforts on areas characterized by particular sea-surface
12 temperatures, has been used to locate pelagic stocks since the mid-Twentieth Century. Although
13 multiple factors influence organisms’ positions in natural environments, skipjack tuna
14 (*Katsuwonus pelamis*) data from the 1950s and 1960s show that catches were optimal in the 20 -
15 29 °C surface temperature range (Williams, 1970). Subsequent laboratory studies found that the
16 lower and upper tolerance limits (18 and 30 °C respectively) on the same species (Barkley et al.,
17 1978) closely brackets the field temperature-preference data. Tsuchida (1995) found a close
18 correlation between the preferred temperature and the upper temperature tolerance limit of 14
19 marine fishes found in Japan’s coastal waters. Yet, temperature preferences can be modified by
20 environmental factors. Fishes with restricted food supplies tend to seek cooler water,
21 consequently lowering their metabolic demands (Moyle and Cech, 2004). For example, food-
22 deprived threespine sticklebacks (*Gasterosteus aculeatus*) preferred significantly cooler sea
23 water (15.9 °C) than fed fish (20.1 °C), when both were acclimated to the same temperature (10-

1 12 °C) at 33 ppt salinity (Magee et al., 1999). Similarly, Atlantic cod preferred lower
2 temperature conditions when fed lower rations (Despatie et al., 2001), and the intertidal fish,
3 *Girella lavezifrons*, selected lower temperatures when fed a poor-quality diet (Pulgar et al., 2003).
4 Thus, on a population level, decreased food availability (e.g., from decreased productivity and
5 therefore decreased prey fish) could lead to a shift in the distribution of predatory fishes to cooler
6 waters.

7
8 With the notable exceptions of partial endothermy in some pelagic tunas (Scombridae), sharks
9 (Lamnidae), and billfish (Xiphiidae; Block et al., 1993), fish are poikilothermic. Thus,
10 environmental temperature increases elevate their biochemical reaction rates, which translates
11 into increased metabolic rates. Every species has its temperature tolerance range, and, typically,
12 energy allocation towards growth and reproduction declines at temperatures near the range
13 extremes (Miller et al., 1988; Sogard and Olla, 2002). For example, the maximum attainable size
14 of the Atlantic cod decreases with increasing water temperature (Brander, 1995; Björnsson et al.,
15 2001; Björnsson and Steinarsson, 2002). This trend was also documented in periods of slow
16 growth with juvenile Atlantic salmon (*Salmo salar*) induced by either food deprivations or low
17 temperatures (Nicieza and Metcalfe, 1997). Large juvenile California halibut (*Paralichthys*
18 *californicus*) were far less tolerant than smaller juveniles of temperature and salinity variations,
19 resulting in weight loss, water-balance problems, and increased mortality (Madon, 2002).

20
21 Temperature can exert significant direct (e.g., via metabolic processes) or indirect (e.g., via
22 distribution and abundance of prey species) effects on fish growth and mortality (Brett, 1970;
23 Anderson and Dalley, 2000). Laboratory studies on the growth of juvenile plaice

1 (Pleuronectidae), sole (Soleidae), and English sole (*Pleuronectes vetulus*; United States, northern
2 Pacific coast populations) demonstrated that growth strongly correlated with ambient
3 temperatures (Williams and Caldwell, 1978; Fonds, 1979; Yoklavich, 1982; Shi et al., 1997). In
4 contrast, Anderson and Dalley (2000) found that temperature had no effect, or an easily
5 discernable effect, on cod growth in Newfoundland waters. Bioenergetic models have suggested
6 that the higher metabolic costs associated with elevated water temperatures, and tied with poor
7 foraging ability or diet quality, could decrease growth and increase mortality of sockeye salmon
8 (*Oncorhynchus nerka*; Hinch et al., 1995). Interspecific competition for common prey items
9 may also determine the ration consumed, which in turn influences growth and survival, as in
10 Asian pink salmon (*O. gorbuscha*) and Alaskan sockeye salmon (Ruggerone et al., 2003).
11 Therefore, foraging success and diet quality, along with temperature changes, will be critical to
12 predicting responses to global climate change.

13

14 *Ecological Effects on Temperate Fishes*

15 Many fishes experience high mortality (due to predation or fishing pressure) and high growth
16 rates (dependent on environmental quality) during larval and juvenile stages, which influences
17 the subsequent survival and abundance of these fishes (Houde, 1987; Rose, 2000). This often
18 results in young-of-the-year abundances that do not correlate with adult abundances. For
19 example, increases in the abundance of young-of-the-year cod in the northern range of Arctic-
20 Norwegian stocks were associated with water temperature elevation. Conversely, a large drop in
21 North Sea young-of-the-year cod abundances was partly attributed to elevated sea temperatures
22 (O'Brien et al., 2000). Yet, cold temperature seemed to limit growth of young cod in the Barents
23 Sea (Nakken, 1994; Pörtner et al., 2001; Pörtner, 2002) and is associated with weak year-classes

1 of North Sea cod when spawning-stock biomass is also low (O'Brien et al., 2000). These
2 confounded findings illustrate the diversity of responses, and therefore, the importance of
3 population- and area-specific research to understand global climate change effects (McFarlane et
4 al., 2000).

5
6 Long-term trends in phytoplankton records in the North Sea showed a regime break in 1988,
7 when satellite images of phytoplankton pigmentation exhibited seasonal and intensity level
8 changes. The break was associated with atmospheric changes in the area (including increased
9 wind circulation), oceanic flow, and higher sea surface temperatures in the North Sea. Reid et al.
10 (2001) suggested that these changes may have increased northerly advection in this region,
11 causing phytoplankton (and associated zooplankton) to increase their distribution ranges. Horse
12 mackerel (*Trachurus trachurus*) which feed on these zooplankton changed their migratory
13 patterns, following food availability, and fishery landings increased to a record high (Reid et al.,
14 2001). Though it is difficult to conclusively state that the increased landings were the direct
15 result of the expanded season and abundance of phytoplankton, the correlations among these
16 factors were very strong (Reid et al., 2001). This serves as an example how changes in ocean
17 conditions can affect the food web base, which in turn affects the behavior and distribution of
18 fishes, and ultimately translates to changes in fisheries production.

19
20 Temperature-induced shifts may also affect fish distribution ranges (McFarlane et al., 2000;
21 Table 1). Sockeye salmon stock, in the marine phase, may encounter increasing warmer water
22 temperatures. Welch et al. (1998) hypothesized that if the surface mixed layer warms past the
23 fishes' thermal limits, then sockeye salmon will need to: 1) develop the ability to migrate to the

1 Bering Sea; 2) vertically migrate to stay below the thermocline during the day and migrate to the
2 surface waters for short time periods to forage; or 3) deal with the energetic costs and
3 consequences associated with unfavorable thermal environments. In North Carolina, the
4 numbers and abundance of tropical species in the temperate reef habitats are slowly increasing
5 because of increases in bottom water temperatures (1-6 °C) over the last 15 years (Parker and
6 Dixon, 1998). Two new families and 29 new species of tropical fishes have been documented.
7 However, no new temperate species were observed, and the most abundant temperate species
8 have declined (Parker and Dixon, 1998).

9
10 Many pelagic fishes (e.g., anchovies [Engraulidae] and sardines [Clupeidae]) are strongly
11 affected by regional environmental cues. In the Adriatic Sea, long-term changes in the landings
12 of small pelagic fish (e.g., anchoveta [*Cetengraulis mysticetus*], sardines, sprat [*Sprattus*
13 *sprattus*]) were suggested to be partially due to the advection cycle of the Levantine Intermediate
14 Water (Grbec et al., 2002), which resulted in hydrological changes. Similarly in Trieste Bay, the
15 mackerel stock reduction may be linked to mismatched timings of the larvae's arrival and the
16 production of sustainable food in the nursery ground, due to the recent modification in the
17 hydrological cycle of the area (Grbec et al., 2002). In addition, recent analyses indicate that the
18 distribution and abundance of tuna (particularly bluefin, *Thunnus thynnus*) larvae, and the
19 migratory patterns and seasonal availability of skipjack and bigeye (*T. obesus*) tunas, have
20 changed in concert with a 3-4 °C temperature increase and other environmental changes
21 (Lehodey et al., 1997; ICCAT, 2002). These examples demonstrate how individual responses
22 can lead to population level changes, resulting from changing oceanic conditions. Similar

1 changes, but with greater magnitude, can be expected if predicted global warming scenarios
2 occur.

3

4 **Polar Regions**

5 Polar regions already have exhibited effects of rapid climate changes. For example, collapsing
6 ice sheets over the past thirty years have resulted in surges of icebergs into the North Atlantic
7 Ocean. Furthermore, relatively sudden temperature changes (increases or decreases of 5-10 °C)
8 in Greenland are thought to be caused by the gradual shutdown (temperature increase) or
9 retention (decreases) of the NADW, an oceanic-atmospheric circulation (Rahmstorf, 2002).

10 Through thousands of generations polar organisms have evolved cold-water tolerance, possibly
11 leaving these fishes vulnerable to relatively small environmental temperature or salinity changes
12 (Eastman, 1993).

13

14 *Physiological Effects on Polar Fishes*

15 Polar marine habitats are characterized by well-oxygenated waters with narrow, cold temperature
16 ranges (Rose et al., 2000). Some fish species can exist in a super-cooled state, where their blood
17 remains fluid to one degree below the normal freezing point (De Vries and Lin, 1977). Fishes
18 employing this strategy live at depths to avoid contacting ice. Other fishes are able to inhabit
19 areas with ice, thus being exposed to temperatures < -2.2 °C (Eastman and De Vries, 1986). To
20 better withstand the low temperatures, many of the fishes have antifreeze peptides or
21 glycopeptides in their blood and tissues, which allow their body temperatures to drop to < -0.8 °C
22 without freezing (De Vries and Lin, 1977; De Vries, 1988). If they are capable of producing
23 these special molecules, most of these fishes can tolerate temperatures to -1.9 °C (Kock, 1992;

1 Eastman, 1993; Pörtner, 2002). Fishes in the Antarctic region produce these compounds year-
2 round, while Arctic Ocean fishes produce them only in the winter, as regulated by temperature
3 and photoperiod (Duman and De Vries, 1974; Kock, 1992). Among species, subtle differences
4 between types and amounts of peptides/glycopeptides produce variations in lower temperature
5 tolerances. If polar waters warm due to global climate shifts, antifreeze peptide/glycopeptide
6 production would likely decrease, thereby increasing available energy for growth or
7 reproduction, if food consumption stayed constant. However, warming polar waters would
8 increase maintenance metabolic (oxygen and energy) requirements, possibly offsetting such
9 energetic gains.

10

11 Cold temperatures increase oxygen solubility in polar waters and decrease the oxygen
12 requirements (oxidative metabolic rates) of polar ectothermic organisms, including fishes. The
13 high environmental oxygen concentrations (and corresponding plasma oxygen levels in polar
14 fishes) are associated with reduced numbers of red blood cells, and lower hemoglobin and
15 myoglobin concentrations, compared to temperate fishes. Fewer red blood cells and less
16 oxygen-binding proteins are needed to satisfy the lower metabolic rate of the polar fishes (Kock,
17 1992; Nikinmaa, 2002). In addition, polar fishes show reduced hemoglobin polymorphism,
18 compared with many fishes. While some polar species have three hemoglobins types (e.g.,
19 *Trematomus* spp.), other species (e.g., bathydraconids) have only one type. The temperate
20 Chinook salmon (*O. tshawtscha*) has at least three hemoglobin types (Fyhn and Withler, 1991;
21 Fyhn et al., 1991). Reduction of hemoglobin types is, presumably, the evolutionary result of
22 stable environmental conditions and polar fishes' stable metabolic rates (di Prisco et al., 1990;
23 Kunzmann, 1991). Antarctica's famous icefishes (Channichthyidae) have no hemoglobin or red

1 blood cells in their blood (Kunzmann, 1991). Because polar fishes have lower levels of
2 hemoglobin polymorphism than temperate species, they are likely ill-prepared to cope with even
3 minor elevations in environmental temperature.

4
5 Other physiological data indicate that polar fishes are well adapted to only a narrow range of
6 cold temperatures (i.e., highly stenothermic). For example, Somero and DeVries (1967) found
7 that *Trematomus hansonii* has a lethal upper temperature near 5 °C. Some polar fishes have
8 highly temperature-sensitive enzymes (e.g., lactate dehydrogenase) and enzyme-ligand
9 interactions (Kock, 1992). Preliminary investigations show that Antarctic fishes in the family
10 Nototheniidae lack heat shock proteins (HSPs). The HSPs, which repair or re-fold damaged
11 proteins (from cellular insults like heat), and are present in most other animals (e.g., amphibians,
12 mammals, some fishes). The loss of HSPs further indicates the relative warm-temperature
13 vulnerability of Antarctic fishes (Kock, 1992; Hofmann et al., 2000).

14 15 *Ecological Effects on Polar Fishes*

16 Because of their narrow temperature limits, even slight changes in polar temperatures may cause
17 fish populations to shift their migratory patterns and geographical ranges, and affect
18 physiological performance (Table 1). Cold-water adapted fishes may need to seek deeper water
19 for cooler temperatures. Depending on how the ocean currents change, if some areas become
20 isolated and remain very cold, the potential for horizontal migration exists. The effects,
21 however, of such migrations on their foraging patterns and life history strategies are unknown.
22 In addition, increasing temperatures are predicted to accelerate polar ice melting rates. For
23 instance, the Antarctic bottom waters in the Argentine Basin experienced a cooling (0.05 °C) and

1 freshening (0.008 ppt) during the 1980s (Coles et al., 1996). At present, there is little
2 information available on the salinity tolerances or preferences of polar fishes. If polar fishes are
3 stenohaline, they will be limited to life below the halocline or migrate to more haline areas. On
4 the other hand, these polar waters may eventually resemble the physical conditions in our
5 present-day temperate waters. This may allow temperate fishes to colonize these areas, but at the
6 expense of the polar species.

7
8 Indirect temperature-related effects (e.g., changes in the distribution and abundance of polar
9 fishes' food organisms) could have dramatic effects on the polar fishes and fisheries. The food
10 chains in the polar regions are short and depend on relatively few species. In the Antarctic
11 Ocean, zooplankton and associated nekton are trapped by the Antarctic convergence, permitting
12 little passage north (Loeb et al., 1997). Most Antarctic fishes' behavioral patterns are linked
13 with the life cycles of an important euphausiid shrimp, krill, although energy may also be
14 acquired from fish remains, bird regurgitated pellets, and seal feces (Stark, 1994; Loeb et al.,
15 1997). A warming trend has been documented for the Antarctic Peninsular region since the
16 1940s, with a corresponding decreased frequency of extensive winter-ice conditions (Loeb et al.,
17 1997). The less extensive winter sea-ice cover does not favor krill recruitment and abundance,
18 and Adelie penguin (a krill predator) abundance has decreased 30% since 1987 (Stark, 1994;
19 Loeb et al., 1997). Thus, warming air temperatures, resulting in less frequent winters with
20 extensive sea-ice conditions, may limit food availability for krill-eating fishes, also.

21

1 **Tropical Regions**

2 The tropical oceans are comprised of structurally distinct habitats with distinct fish communities.
3 Unfortunately, physiological studies of tropical fishes are comparatively few. Therefore, in this
4 section, we examine the effects on tropical fishes by looking at comparatively well-studied coral
5 reef ecosystems and their fish communities.

6

7 *Effects on Coral Reef Communities*

8 Coral reefs occur within well-defined, physical and environmental limits. Radiation, water
9 quality (physical and chemical properties, including temperature), bathymetry, and natural
10 history all help determine the composition, distribution, and diversity of these ecosystems. The
11 hypothesis that coral reef communities are among the first to show signs of adverse climate
12 change-related effects has been widely stated in the literature (Glynn and D'Cruz, 1990; Hughes
13 and Connell, 1999; Whitney and Freeland, 1999; Fitt et al., 2001; Brown et al., 2002). To date,
14 the study of potential effects of global climate change and inter-annual variation on coral reef
15 communities have focused almost entirely on hermatypic (reef-building) corals, including
16 “bleaching” events (Lough, 1998; Fitt et al., 2001; Brown et al., 2002; Loch et al., 2002).
17 “Bleaching” events are a classic response of tropical hermatypic corals to a variety of
18 environmental stressors (Fig. 6; Fitt et al., 2001).

19

20 The scientific community has recently begun to collect physiological tolerance limits of corals
21 (Fig. 5), but data for reef fishes and non-structural invertebrates are almost non-existent (Urban,
22 1994; Mate, 1997; Mora and Ospina, 2001). Consequently, more direct measurements from
23 coral reef communities, and knowledge of the upper and lower temperature tolerance limits of

1 marine organisms, especially non-coral invertebrates and fishes, in the tropical oceans are
2 necessary for future response predictions of these organisms to environmental, inter-annual, and
3 global climate changes. Mora and Ospina (2001) examined the critical thermal maximum
4 (CTM) of fifteen fishes from the tropical eastern Pacific Ocean. Their CTM ranged from 34.7 to
5 40.8 °C, greater than temperatures recorded during the last El Niño event (averaging 32 °C).

6
7 Although CTM data are useful as an indicator, other factors (e.g., magnitude and duration of
8 high temperature exposure) are also important for predicting a fish's response and survival. For
9 instance, temperature changes (e.g., increases) may affect immune system function, and decrease
10 fecundity in coral reef fishes (Bevelhimer and Bennett, 2000; Mora and Ospina, 2001).

11
12 Coral bleaching and the loss of reef complexity may be a critical factor reducing abundances and
13 biodiversity of invertebrates and fishes. Corals interact with fishes in a variety of manners.
14 Some species forage on the gametes or larvae of corals (Prachett et al., 2001). Others use coral
15 as refuge and protection, forage on non-structural invertebrates and fishes using corals, and eat
16 algae that are overgrowing on corals, while many fishes provide nutrients to coral (Mora and
17 Ospina, 2001; Prachett et al., 2001). In coral reef communities where intense bleaching has
18 occurred, significant changes in the abundance of some fishes were observed (Table 1). Species
19 intimately tied to live coral for shelter and sustenance have shown little recovery from severe
20 bleaching events (Williams, 1986; Spalding and Jarvis, 2002).

21
22 **Socio-economic Effects**

1 In 2000, the world's fishery production (excluding aquaculture) totaled 130,434,000 metric tons
2 (live weight; FAO, 2002). About 70% of the annual catch was eaten directly by people, while
3 the rest was reduced to fish oil or meal, or processed into non-food products (e.g., jewelry,
4 cosmetics, and pets; Miller and Johnson, 1989; FAO, 2002). Marine and estuarine fish provide
5 an important portion of the world's food supply (UNEP, 1994). With global climate change,
6 these fish will experience a change in their physical environment, as outlined in the previous
7 sections. An inherent feature of climate is variability, although the ranges of variability may
8 change, leading to less predictable marine resources (McGoodwin, 1992; Miller and Fluharty,
9 1992). Possible oceanic condition scenarios would produce three expected responses by motile
10 fish: 1) areas where favorable conditions exist will increase in size, allowing a species to expand
11 its range and/or proliferate; 2) areas where favorable conditions exist may move, causing a
12 population's numbers to decline in certain areas and increase in others, effectively shifting the
13 population's range; and 3) favorable conditions for a species may disappear, leading to a
14 population crash and possible extinction. Each species has its physiological tolerance limits,
15 optima, and ecological needs, thus within a community you can expect different responses from
16 different organisms. Here we examine the environmental effects on fishing, rather than the
17 fishing effects on populations. Because marine and estuarine systems are complex, and our
18 knowledge of how they work is in its infancy, we can only speculate at the possible
19 consequences of global climate change on their fishable stocks and the people who depend on
20 them.

21
22 Harvesting fish is often connected to family and community traditions that may date back several
23 thousand years (Ross, 1997). Generally, there are three types of harvesters who utilize fisheries

1 resources: subsistence (artisanal) fishers, commercial fishers, and recreational fishers.
2 Subsistence harvesters typically stay within their regional community, and fish mainly to feed
3 themselves and their families, or to sell to local consumers. Fishing is often an expression of
4 their cultural heritage, and the center of economic and cultural activity for an area (McGoodwin,
5 1990; Miller and Fluharty, 1992; Ross, 1997; Kramer et al., 2002). Commercial harvesters fish
6 to earn money, and spread earnings throughout community and regional economies by paying for
7 supportive services (e.g., equipment, boating supplies, fuel, etc.; Ross, 1997). Recreational
8 harvesters (anglers) fish for the enjoyment of the outdoor experience or landing a fish, not out of
9 necessity, and are usually from industrialized nations with time and money to enjoy fishing as a
10 sport (Royce, 1987; Ross, 1997). These categories are not mutually exclusive; a subsistence or
11 commercial fisher may enjoy the outdoors and the thrill of landing a fish just as much as a
12 recreational angler. Conversely, recreational anglers may use their catch to feed themselves and
13 their families. For our discussion on social and economic aspects of fisheries impacted by
14 climate change, we will refer to these harvester groups as separate entities.

15

16 *Subsistence Harvesters*

17 In many parts of the world, particularly in non-industrialized countries, subsistence harvesters
18 make up a substantial portion of the total harvesters. For example, in 1994 the total annual fish
19 catch in Indonesia was 2.5 million metric tons, 90% of which was harvested by artisanal fishers
20 (Kramer et al., 2002). Along with being part of a culture's identity, fisheries provide food for
21 many people in certain regions, where local fish are the main source of animal protein (Miller
22 and Johnson, 1989; McGoodwin, 1990). In the Southern Indian Ocean Biosphere Reserve in the
23 Gulf of Mannar, approximately 1/3 of the population (ca. 200,000 people) depend on the sea to

1 earn their livelihood, 90% of which rely on nearshore reef-related fisheries and seaweed
2 resources (Wilkinson et al., 1999). In the Maldives, reef fishes are eaten by tourists and used as
3 bait for fishers supplying tuna, the staple food for the region and main export (Wells and
4 Edwards, 1989). Peruvian fisheries can be divided into artisanal and commercial fisheries, with
5 the artisanal fishery consisting of about 50,000 people who typically have low socio-economic
6 status, are self-employed, and consume and sell their catch locally (Pfaff et al., 1999).

7

8 Possible impacts of global climate change on artisanal fishers include changes in the current
9 harvested fish stocks' distribution and abundance. As outlined in the previous sections, global
10 climate change may alter oceanic currents and, consequently, the physical environment
11 experienced by fish. Not only would these changes affect fish at the individual level (i.e.,
12 physiology), but changes would also have population-level effects. For example, changing
13 currents may affect larval dispersal, retention, and recruitment. Larvae may be passively
14 transported to unfavorable areas, decreasing recruitment and, consequently, stock size (Iles and
15 Sinclair, 1982; Fortier and Leggett, 1984; Cowen et al., 2000; Soto, 2002). Temperature, along
16 with other variables, causes active movement of mobile species to areas encompassing the
17 preferred range of environmental variables, influencing migration patterns (Rose and Leggett,
18 1988; Murawski, 1993; Soto, 2002).

19

20 Artisanal harvesters typically do not have the necessary resources or equipment to fish areas far
21 from their homes and, therefore, are forced to harvest local species. If species' ranges shift
22 and/or migration routes change, fish that were once readily available to these fishers may
23 become scarce or non-existent. On the other hand, fishes that once were not able to thrive in

1 nearshore habitats, and therefore have not traditionally been utilized by the local people, may
2 become more abundant. Artisanal harvesters may have to adapt their fishing habits (e.g., gear,
3 methods, or species fished) in order to continue providing enough food for themselves and their
4 families. Whereas commercial fishers typically exploit areas further from shore, artisanal
5 harvesters tend to fish in areas that are more accessible from shore. Thus, in tropical areas,
6 artisanal fishers utilize fish stocks that are dependent on shallow and near-shore coral reef
7 systems. In the Minahasa district of North Sulawesi (Indonesia), artisanal harvesters mostly
8 catch reef fishes (Kramer et al., 2002). If global climate changes create conditions that affect the
9 coral reefs (e.g., temperature increases that cause bleaching), artisanal harvesters may witness a
10 sharp decrease in exploitable fish stocks.

11

12 *Commercial Harvesters*

13 Globally, the open oceans are harvested by commercial fishers. Commercial fisheries contribute
14 billions of dollars (US) to the worldwide economy and create thousands of jobs (Salz and
15 Loomis, 2004). This includes people employed in harvesting, processing, and marketing, as well
16 as those involved in producing supplies used in each of these. In the US alone, commercial
17 fisheries produce billions of dollars in economic activity and employ over 300,000 people (Ross,
18 1997). The Peruvian commercial fishery employs approximately 20,000 individuals who staff
19 fishing fleets, fish-meal plants, canneries, and large firms, that have diversified and now hold
20 influence in all levels of government (Pfaff et al., 1999).

21

22 For many countries, commercial fisheries provide a large percentage of traded goods. In 2000,
23 Seychelles, Maldives, Kiribati, Greenland, Iceland, and the Faeroe Islands had fisheries product

1 exports that ranged between 54 and 94% of their total merchandise exported (FAO, 2002).
2 Fisheries products also made up a substantial (10-35%) percentage of exported goods for Peru,
3 Morocco, Panama, Viet Nam, Ecuador, Nicaragua, Belize, Guyana, and Madagascar (FAO,
4 2002). In 1999, the US had a domestic catch of 4,235,347 metric tons (live weight) valued at
5 \$3.5 billion (US). The exported portion of this catch brought in approximately \$2.6 billion (US;
6 USCB, 2002). In addition, the US also imported another 3,645,805 metric tons (live weight),
7 worth an estimated \$8.1 billion (US; USCB, 2002). Other countries, such as Samoa, Japan, and
8 Micronesia, also are net importers, with 4-8% of their total merchandise imported consisting of
9 fisheries products (FAO, 2002).

10

11 The money generated and the jobs created by the commercial fishing industry is obviously very
12 important to the individuals involved and to countries that rely on fishery products exports. The
13 overall economic importance of fisheries tends to be low in industrialized countries, but is
14 important at the regional level (e.g., in Åland, Finland; Virtanen et al., 2001). In Finland, the
15 total value of the commercial fisheries (including fishing, aquaculture, processing, and
16 wholesaling) in 1997 was approximately \$38,530,000 (US), 80% of which was contributed by
17 marine fishing (Baltic herring, salmon, sprat, and cod; Virtanen et al., 2001). Although, this
18 accounted for < 1% of the nation's gross domestic product (GDP), there were 4200 registered
19 fishers, with 1200 of them deriving > 30% of their total income from fishing (Virtanen et al.,
20 2001).

21

22 As with the artisanal fishers, commercial fishers may experience a decrease and/or movement of
23 stocks currently being exploited. Stocks may have different reactions to fishing pressure under

1 changing environmental conditions, and many examples exist of climatic factors affecting fish
2 stocks. For example, increased stratification of the oceans may lead to less primary production,
3 which translates to less energy overall for fish production (Frank et al., 1990). The well-mixed
4 waters will shift towards shallower zones, decreasing their overall area. This change will
5 decrease suitable spawning habitat for many species, such as Atlantic herring (*Clupea harengus*),
6 ultimately leading to decreased stock size (Frank et al., 1990). Changing currents may affect
7 larval dispersal and retention (e.g., passively transporting larvae to unfavorable areas), also
8 leading to decreased stock sizes (Iles and Sinclair, 1982; Fortier and Leggett, 1984; Cowen et al.,
9 2000; Soto, 2002). Many species' successes may depend on their ability to disperse to more
10 favorable habitats. It is widely recognized that temperature change causes mobile species to
11 move and redistribute themselves into areas of thermal preference, potentially changing
12 migration routes (Rose and Leggett, 1988; Krovnin and Rodionov, 1992; Murawski, 1993; Soto,
13 2002).

14
15 Large-scale climatic fluctuations, such as the NAO, have been shown to affect the timing of life
16 history events of various species from phytoplankton to birds (Blenckner and Hillebrand, 2002).
17 The NAO can also affect fish, directly or indirectly. For instance, when temperatures fluctuate,
18 marked changes occur in the plankton community structure off of Britain's southwest coast and
19 western English channel, and it is predicted that a latitudinal range shift of 322-644 km will
20 occur with a 2 °C increase in temperature. This would be accompanied by a restructuring of the
21 planktonic, pelagic, and benthic communities, including fish (Southward et al., 1995). Many
22 commercially important species, such as Atlantic cod, American plaice (*Hippoglossoides*
23 *platessoides*), Atlantic halibut (*Hippoglossus hippoglossus*), cusk (*Brosme brosme*), redfish

1 (*Sebastes* spp.), Atlantic menhaden, butterfish (*Peprilus triacanthus*), and red hake (*Urophycis*
2 *chuss*), off the eastern Canadian coast are predicted to shift their ranges due to redistribution and
3 change in recruitment patterns (Frank et al., 1990). In the early 1990s, northern cod shifted their
4 range southwards, following favorable environmental conditions and food sources (Rose et al.,
5 2000). Fluctuations of Atlanto-Scandian herring populations in the Norwegian Sea have been
6 attributed to intensity and temperature of the Norwegian Current (related to the NAO), which
7 affects the distribution patterns of the larvae and juveniles, along with growth rates, maturation
8 age, and recruitment (Krovnin and Rodionov, 1992). Regarding the harvesting of affected
9 species, their ranges may shift to an area equally favorable for harvesting. Although, it is also
10 possible that the areas to which their ranges shift either may be not feasible to fish or may require
11 new equipment purchases for efficient fishing.

12
13 The predicted increase in major climatic events, such as ENSO (Timmermann et al., 1999; IPCC,
14 2001), may have drastic effects on fish stocks, especially when combined with other factors,
15 such as overfishing (Pauly and Christensen, 1995). It has been suggested that reduced survival,
16 reduced growth rate, and diversions of traditional migratory routes can all be caused by ENSO
17 events, exacerbating the effects of intensive harvesting (Miller and Fluharty, 1992). This was the
18 case with the Peruvian anchoveta fishery during the 1972-73 and 1997-98 ENSO events
19 (Caviedes and Fik, 1992; Pfaff et al., 1999; Pontecorvo, 2000). Between 1960 and 1999, the
20 anchoveta catch ranged from 12.3 to 23 thousand metric tons (Pontecorvo, 2000), and today
21 accounts for 4% of Peru's gross national product (GNP) and \$1 billion (US) in foreign exchange
22 earnings (Pfaff et al., 1999). During the 1972-73 ENSO event, nearly 1,500 fishing boats and
23 200 fish-processing plants were forced to cease operations, causing more than 100,000 people to

1 be unemployed (Jordán, 1991). In September 1998, during a particularly strong ENSO event,
2 Peru's largest fishing port (Chimbote) had so many unemployed people that food was distributed
3 to thousands of fishermen and their families (Pfaff et al., 1999). The 1983-84 ENSO is
4 implicated in both the poor salmon harvest along the western coast of the US (and the subsequent
5 economic distress of these fishers), and the strong salmon harvest in Alaskan waters (Miller and
6 Fluharty, 1992). ENSO events temporarily displace individuals and shift populations, and
7 introduce changes in reproductive physiology, egg and larvae survival, recruit and adult biomass,
8 and fish schooling behavior (Jordán, 1991). If ENSO events become frequent, these temporary
9 changes may become permanent.

10

11 *Recreational Harvesters*

12 It has been estimated that, in 1990, 36 million people over the age of 16 fished recreationally in
13 the US (Ross, 1997). In 1991, 36 million anglers in the US fished for a total of 115 million days,
14 15% of which were spent in saltwater systems (Ross, 1997; Gentner and Lowther, 2002). The
15 money spent while fishing produced the equivalent of 600,000 full-time jobs in tourism and
16 recreation-based industries (Ross, 1997). In South Africa, almost 500,000 people participate in
17 recreational fishing, providing 81% of the employment and generating 82% of the revenue from
18 fishing activities (including commercial; Griffiths and Lamberth, 2002). In the US, in 1991,
19 anglers spent \$24 billion (US; 25% of it in saltwater systems) to fish, including spending money
20 on boats, motors, electronic equipment, rods, reels, lures, baits, supplies, marinas' services, fuel
21 at gas stations, meals at restaurants, rooms at hotel/motels, and guides' and party-boat operators'
22 services (Ross, 1997). Recreational fishing is variable according to region. For example, it has
23 been modeled that if Florida was closed to recreational angling, it would experience a \$4.3

1 billion loss (US; Gentner and Lowther 2002). If species popular to anglers shift their ranges to
2 an extent that they cannot be found in traditional fishing areas (or near resorts and businesses that
3 cater to anglers), these areas will suffer due to less money being put into local economies. In this
4 case, the people supporting these recreational activities will be affected economically by global
5 climate change more than the fishers, some of whom would travel to new fishery access points.
6 Other regions may benefit from the influx of popular recreationally-fished species, as business
7 niches are created in that area.

8

9 *Marine Protected Areas*

10 The active movement of fishes to more favorable regions as oceanic conditions change has
11 implications for marine protected areas (MPAs). As food sources, currents, temperatures, and
12 salinities change, fish are likely to move to more favorable habitats, rendering the
13 anthropogenically-determined boundaries of MPAs useless. The range shifts of these fishes do
14 not necessarily mean the population will be negatively affected, but their movement outside of
15 designated MPA boundaries renders them more vulnerable to anthropogenic disturbances.
16 Marine protected areas can serve dual functions as biodiversity conservation and fisheries
17 management tools, and the “open” nature of fish populations and other marine organisms causes
18 one to question whether there should be the establishment of networking marine reserves
19 (Bohnsack, 1996). Soto (2002) provides a thorough review on the potential impacts of global
20 climate change on MPAs.

21

22 *Effects of Rising Sea Levels*

1 Rising sea levels could be a particular threat to countries such as Bangladesh, Guyana, and the
2 Maldives, and to low-lying islands like Tuvalu, Tonga, and Kiribati (Dickson, 1989). Most low-
3 lying coral islands in the Pacific and Indian Oceans also have economies tied to coastal and
4 marine systems, in the form of fishing and tourism (Wells and Edwards, 1989). In the Maldives,
5 45% of GNP stems directly and indirectly from tourism revenues (Wilkinson et al., 1999). It has
6 been predicted that Subang's fish and shrimp harvest would be decreased by 4318 metric tons,
7 affecting the livelihood of 14,500 households (Hunt, 1992). A rise in sea level would increase
8 the depth of water above coral reefs (optimum being 2-30 m; Wells and Edwards, 1989). This
9 would result in lower light penetration to support the photosynthetic algae living within the coral.
10 If the water depth increases faster than the corals can grow, they could effectively "drown,"
11 destroying habitat for fish upon which many artisanal fishers are dependent (Wells and Edwards,
12 1989). Reefs are also vitally important as breakwaters (Wells and Edwards, 1989), and areas that
13 have experienced coral die-offs due to temperature increases or anthropogenic disturbances, such
14 as Sri Lanka, are now having to spend millions of dollars to develop and implement revetments,
15 groynes, and breakwater schemes (Wilkinson et al., 1999).

16

17 *Disease*

18 Disease risks, for marine organisms and humans, represent another potential impact of global
19 climate change. There have been studies linking human disease (e.g., human cholera) to
20 changing ocean temperatures (Colwell, 1996; Pasual et al., 2000; Harvell et al., 2002) and
21 climatic factors (Anderson, 1997; Hales et al., 1999; Epstein, 2000). Many pathogens are
22 temperature-sensitive. For example, growth rates of marine bacteria and fungi are positively
23 correlated with temperature (Shiah and Ducklow, 1994; Harvell et al., 2002), whereas certain

1 cold-water salmonid diseases are favored by low temperatures (Holt et al., 1989; Harvell et al.,
2 2002). In tropical areas, warmer waters may increase the susceptibility of fish (and other hosts)
3 to pathogens because they are already expending energy dealing with thermal stress (Harvell et
4 al., 2002). As with any organism, pathogens have preferred temperatures, and will expand or
5 contract ranges depending on their tolerances. Range changes may affect biodiversity either by
6 introducing a new pathogen or parasite to a population, or by releasing hosts from a major source
7 of population regulation (Harvell et al., 2002).

8

9 Coral diseases may have wide influences on coral reef ecosystems. Three coral pathogens
10 (*Aspergillus sydowii*, *Vibrio shiloi*, and Black Band Disease) grow well at temperatures close to
11 or exceeding probable host optima, suggesting that their population sizes would increase in
12 warmer waters (Harvell et al., 2002). Certain bacteria (e.g., *V. shiloi*) cause bleaching of certain
13 coral species (e.g., *Oculina patagonica*), while fungi grow optimally at temperatures that
14 coincide with thermal stress and bleaching in corals (Harvell et al., 2002). This may lead to a co-
15 occurrence of bleaching and infection (Harvell et al., 2002). Along with disrupting the fish
16 communities associated with these corals, the leftover dead coral surfaces can become colonized
17 by macroalgae, which support the proliferation of toxic dinoflagellates (de Sylva, 1994; Hales et
18 al., 1999).

19

20 Ciguatera is the most frequent source of human illness caused by ingesting marine toxins,
21 although many marine organisms produce toxins that affect humans (Hales et al., 1999).

22 Ciguatoxins are produced by marine dinoflagellates that inhabit the surface of macroalgae.

23 Herbivorous fish feed on this macroalgae, become contaminated, and the toxins bioaccumulate

1 enough to cause illness when fish (e.g., groupers [Serranidae], snappers [Lutjanidae], and jacks
2 [Carangidae]) are consumed by humans (de Sylva, 1994; Hales et al., 1999). Fish poisoning is
3 therefore related to physical disturbances of coral reefs, which are sensitive to changes in
4 environmental variables such as temperature and pollutants (Hales et al., 1999). An increase in
5 ciguatera poisoning was seen around French islands in the Indian Ocean and was linked to coral
6 bleaching (Wilkinson et al., 1999). Annual reports of fish poisonings in the south Pacific are
7 positively correlated with ENSO events, and there is a linear relationship between fish poisoning
8 and sea-surface temperatures (Hales et al., 1999). Blooms of algae, called red tides, can also
9 occur in marine and estuarine environments, causing poisoning of fish and humans eating
10 contaminated fish (Anderson, 1997). These blooms are very variable, lasting anywhere from
11 weeks to years, and can stay localized in a specific area, or can be massive, covering thousands
12 of square miles (Anderson, 1997). More intense rains wash more fertilizer and sewage into
13 coastal waters, and this runoff triggers algal blooms and consequent poisoning of fish and
14 humans (Epstein, 2000). Countries that farm their coastal waters heavily (e.g., Japan, China, and
15 Korea) are also exposed to economic losses from red tides (Anderson, 1997). Because
16 poisonings and algal blooms have been correlated with ENSO events and excessive rain, their
17 frequency and severity are likely to increase with the predicted increasing climatic variability
18 associated with global climate change.

19

20 Although we have proposed many possible socio-economic effects of global climate change,
21 they are based on statistical correlations and model-derived predictions. Although some fishers
22 will suffer losses, others will experience gains. The shift in fish distribution may just shift the
23 economic benefits to another group, resulting in no net loss. This is very hard to predict. Little

1 peer-reviewed literature has been produced that directly connects global climate change with
2 socio-economic conditions because these connections are all very complex and somewhat
3 elusive. The research base needs to expand to elucidate the interactions among climate,
4 biological processes, and socio-economic activities dependent on these processes (Miller and
5 Fluharty, 1992).

6

7 **Conclusions**

8 Global climate change is impacting and will likely increasingly impact marine and estuarine fish
9 and fisheries. Current data show global climate change effects ranging from changes in
10 metabolic rates to changes in fish behavioral patterns in polar seas to fish community changes
11 associated with bleached tropical corals. Projections of future conditions show further impacts
12 on the distribution and abundance of fishes associated with a relatively small temperature
13 change. Changing fish distributions and abundances will undoubtedly affect communities of
14 humans who harvest these stocks. Harvesters (subsistence, commercial, recreational) may be
15 impacted (negatively or positively) by changes in fish stocks due to climate change. Marine
16 protected area boundaries, low-lying island countries dependent on coastal economies, and
17 disease incidence (in aquatic organisms and humans) are also affected by a relatively small rise
18 in temperature. The evidence on hand still includes many uncertainties about the future of
19 affected fish species and their harvesters. Therefore, there is a great need for research on fish
20 physiology and ecology, particularly in the tropics where relatively little research has been
21 conducted. With a broader and deeper information base, researchers will be able to more
22 accurately predict future situations.

23

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2

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6

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1 Table 1. Predicted changes in various fish populations associated with warmed habitats,
 2 according to geographical region.

Region	Species	Prediction	Source
Polar	North Sea cod, haddock, herring, and sardines	Possible shift in spawning times; alteration of bioenergetics; changes in transport of larvae	Svendsen et al., 1995; Alheit and Hagen, 1997; Ottersen et al., 2001
	Barents Sea cod and haddock	Changes in early life stages growth rates and recruitment levels	Ottersen et al., 1994; Ottersen, 1996; Ottersen et al., 2001
	Cod, haddock, plaice	Recruitment decreases off West Greenland with increasing temperature; changes in growth rates	Stein, 1991; Ottersen et al., 2001
Temperate	Pacific salmon (<i>Oncorhynchus spp.</i>)	Distribution shifts northward; changes in size; decreased population	Ishida et al., 2001; McFarlane et al., 2000; Swansburg et al., 2002
	Sockeye salmon (<i>O. nerka</i>)	Distribution shifts towards the sub-Arctic	Welch et al., 1998
	Skipjack tuna	Spatial shifts with temperature	Stenseth et al., 2002
	Atlantic salmon	Distribution and survival changes	Friedland et al., 1998
	Horse mackerel (<i>Trachurus trachurus</i> L.)	Distribution changes in accordance to food shifts	Reid et al., 2001
	Atlantic tropical reef spp.: porgies, snappers, sea bass	Species shift into new areas and change in abundance	Parker and Dixon, 1998
Tropical	Pacific reef spp.	Decreases in corallivore and coral nester abundance; increases in invertebrate feeders	Spalding and Jarvis, 2002

- 1 Figure 1. Earth's radiation budget. Some energy is absorbed and re-radiated downwards by the
- 2 atmosphere (from Gribbin, 1988 with permission).

1 Figure 2. Estimated changes in annual global mean temperatures (red) and carbon dioxide (green)
2 from a 135-year period. Earlier values for carbon dioxide are from ice cores (dashed line) and for
3 1957 to 1995 from direct measurements made at Mauna Loa, Hawaii. The scale for carbon
4 dioxide is in parts per million by volume (p.p.m.v.) relative to a mean of 333.7 p.p.m.v. (from
5 Trenberth, 1997 with permission).

1 Figure 3. Highly simplified cartoon of the global thermohaline circulation. Near-surface waters
2 (red) flow towards three main deep-water formation regions (yellow ovals) - in the northern
3 Atlantic, the Ross Sea, and the Weddell Sea - and recirculation at depth. Deep currents are shown
4 in blue, bottom currents in purple. Green shading indicates salinity above 36‰, blue shading
5 indicates salinity below 34‰. (from Rahmstorf, 2002 with permission)

- 1 Figure 4. The variable effects of climate on oceanographic processes and production are shown.
- 2 Signs (+/-) indicate the correlation between any two factors (modified from Soto, 2002 with
- 3 permission).

1 Figure 5. Bleached and partly bleached *Acropora* sp., *Pocillopora* sp., and various reef fishes,
2 including butterfly fishes, emperors, cleaner wrasse, and sturgeon fishes. The photo was taken at
3 15 m depth at St. Pierre, Farquhar Group, Seychelles, in April 1998. The temperature in the water
4 was 31 to 34 °C (from Wilkinson et al., 1999 with permission).

1 Figure 6. Coral physiological stress to two stressors, salinity and temperature. In combination,
2 these factors profoundly diminish the photosynthetic capacity of this species. (from Porter et al.,
3 1999 with permission).

4

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6